

## Higgs Localization in Split Fermion Models

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### Abstract

The flavor puzzle of the Standard Model is explained in split fermion models by having the fermions localized and separated in an extra dimension. Many of these models assume a certain profile for the Higgs VEV, usually uniform, or confined to a brane, without providing a dynamical realization for it. By studying the effect of the coupling between the Higgs and the localizer fields, we obtain these scenarios as results, rather than ansätze. Moreover, we discuss other profiles and show that they are phenomenologically viable.

## 1. INTRODUCTION

One of the indications for the incompleteness of the Standard Model (SM) is the hierarchy among its flavor parameters. An attractive solution for this flavor puzzle is presented in split fermion models [1], where the fermion zero modes are split over an extra dimension. The effective 4D Yukawa couplings are then suppressed by exponentially small overlaps between wavefunctions of different fermion zero modes. In some of these models [2, 3, 4] the fermions are localized at various positions in  $x_5$ , while in others [5], they are attached to 4D planes, with an exponential penetration into the bulk. A key ingredient in all these models is a real scalar field (the localizer) which acquires a bulk dependent VEV. More examples, discussions and experimental signatures of split fermion models can be found in [6, 7, 8, 9, 10].

The Higgs VEV is usually assumed either uniform [2, 3, 5, 9], or confined to a brane [5, 6, 7]. While in some works [5, 11] there are ideas about generating its profile, no comprehensive study was done. In order to motivate a certain profile, one has to study the coupling between the Higgs and the localizer, which is the purpose of this work. We work at the classical level, where we were able to realize the above two scenarios. A uniform Higgs is obtained if the above coupling is small, which requires fine tuning of the model parameters. Conversely, a scenario in which the Higgs is localized at a brane is obtained without fine tuning. We discuss an explicit solution of this sort in the large orbifold limit, and its phenomenological constraints. Finally, we show that there are other solutions which are not fine tuned and are phenomenologically viable.

## 2. SPLIT FERMIONS

### 2.1. Overview

Our interest lies in split fermion models with one extra dimension. While there is an extensive typology of such models, a feature common to many of them is the appearance of a real scalar ‘ $\Phi$ ’ called the localizer, which is a SM singlet. The remaining field content is similar to that of the SM, but with Dirac fermions (there are no chiral representations in five dimensions). By coupling to the fermions, the localizer VEV serves as a position dependent mass which vanishes at the 3-brane where the fermion is localized. In order to see that, we write the relevant part of the Lagrangian as

$$\mathcal{L} = \bar{\Psi}_i \left( i\delta_{ij}\Gamma^M\partial_M - \frac{\lambda_{ij}}{\sqrt{M_*}}\langle\Phi\rangle - M_{ij} \right) \Psi_j, \quad (1)$$

where  $\Gamma^M$  are the five Dirac matrices,  $M_*$  is the UV cutoff so that the  $\lambda_{ij}$  are dimensionless, and  $i, j$  are flavor indices. As a first step we discuss an infinite extra dimension, where we denote the extra dimension coordinate as ‘ $z$ ’. A further simplification is to take  $[\lambda, M] = 0$ . Then we can work in the mass basis where both  $\lambda$  and  $M$  are diagonal (this assumption is relaxed in [12]).

We need  $\Phi(z)$  to be a topological defect with co-dimension  $D-4$  in order to confine the other fields to a 4D universe. In an infinite extra dimension we assume the kink solution,

$$\Phi(z) = \frac{\mu}{\sqrt{\lambda_\phi/M_*}} \tanh\left(\frac{\mu z}{\sqrt{2}}\right), \quad (2)$$

where  $\mu$  is the 5D mass parameter of the localizer and  $\lambda_\phi$  is its dimensionless quartic coupling. The equations of motion (EOM) for the Kaluza-Klein (KK) wavefunctions of the fermions are obtained by solving the 5D EOM with separation of variables. In particular, the resulting wavefunctions for the zero modes are

$$f_{L,R}^{i(0)}(z) = N_{L,R} \exp\left(\mp \int_0^z [\lambda_i \Phi(z') + M_i] dz'\right), \quad (3)$$

where  $M_i(\lambda_i)$  are the eigenvalues of  $M(\lambda)$ . We can see that only one of each wavefunction pair is normalizable, depending on the sign of  $\lambda_i$ . In order to get rid of the mirror fermions in a realistic model, a common solution is to compactify the extra dimension on a  $S^1/Z_2$  chiral orbifold [3, 5, 9]. The orbifold boundary conditions force right handed fermions to be odd, which is incompatible with Eq.(3), and therefore the right handed zero mode is projected out of the spectrum.

Having localized the SM chiral fields at various points in  $x_5$ , we now turn to show how small 4D Yukawa couplings arise naturally in this setup. For example, in the Arkani-Hamed–Schmaltz (AS) model, the relevant part of the Lagrangian for the leptons is

$$\begin{aligned} \mathcal{L}_{5D} = & \bar{L}_i \left( i\partial_5 - M_L - \frac{\lambda}{\sqrt{M_*}} \Phi \right) L_i + \bar{E}_i \left( i\partial_5 - M_E + \frac{\lambda}{\sqrt{M_*}} \Phi \right) E_i \\ & - \left( \frac{Y_{ij}}{\sqrt{M_*}} \bar{L}_i H E_j + c.c. \right), \end{aligned} \quad (4)$$

where  $Y_{ij}$  is the 5D Yukawa couplings with the Higgs. Upon KK decomposition, we obtain the effective low-energy 4D Lagrangian,

$$\mathcal{L}_{4D} = \left( \frac{Y_{ij}}{\sqrt{M_*}} \int dz f_i^{\ell*}(z) [f^h(z) h(x) + v_H(z)] f_j^e(z) \right) \bar{\ell}_L^i(x) e_R^j(x) + c.c., \quad (5)$$

where the zero modes are denoted in small Latin letters, and  $f^i(z)$  are their wavefunctions. Note that in the KK decomposition of the Higgs field we distinguish between the VEV and the wavefunction of the lowest mode, which we call the *ground* KK mode.

As already mentioned, in realistic models the extra dimension is compactified on a  $S^1/Z_2$  chiral orbifold, where the localizer and the right handed fermions are odd under the  $Z_2$  reflection. The Higgs and the left handed fermions are even. Since 5D Dirac masses are forbidden by these boundary conditions, the fermions cannot be split up in the usual way, but a scenario with fermions in the bulk can still be constructed [3, 5]. Another approach is presented in [5], where the localizer VEV has a narrow domain wall, effectively a step function, and the Higgs VEV is confined to one of the fixed points. The fermion wavefunctions in this model are localized at each of the fixed points, with an exponential decay toward the other fixed point. The sign of the coupling to the localizer determines the localization point, and its magnitude fixes their width. More specifically, in this model the third generation quark doublet and the top singlet are localized at the Higgs brane whereas the other quarks are localized at the other fixed point. The 4D effective Yukawa is then proportional to the value of the wavefunction at the Higgs brane, thus giving a large Yukawa only for the top Yukawa, since both the top and  $Q_3$  are maximal at the Higgs brane. In the next section we obtain such scenario as a specific classical solution.

In a milder, “regularized” version of the above, the Higgs VEV is rather localized, and not a delta function. A non-uniform Higgs VEV is possible as long as the mass of the  $W^\pm$  ground mode is predicted correctly, namely,

$$g_4^2 v_{\text{EW}}^2 = \frac{g_5^2}{M_*} \int dz |h(z) f_W(z)|^2, \quad (6)$$

where  $g_D$  is the  $D$  dimensional  $SU(2)$  gauge coupling,  $h(z)$  is the 5D Higgs VEV, and  $f_W(z)$  is the wavefunction of the  $W^\pm$  ground mode. The relation between the 4D and 5D gauge couplings is given by

$$g_4 = \frac{g_5}{\sqrt{M_*}} \int_0^L dz f_W(z) |f_\psi(z)|^2 \simeq \frac{g_5}{\sqrt{M_* L}}, \quad (7)$$

provided that the  $W^\pm$  wavefunction is nearly flat. Therefore the gauge couplings drop out and the phenomenological constraint Eq.(6) reads

$$v_{\text{EW}}^2 = \int_0^L dz h^2(z). \quad (8)$$

This condition is necessary in order to comply with phenomenology.

We treat our model as an effective field theory, and therefore the presence of nonrenormalizable terms does not pose an essential problem. Throughout this work we consider operators which translate into renormalizable terms in the effective four dimensional theory, such as  $\phi \bar{\psi} \psi$  (dimension  $5\frac{1}{2}$  in the 5D theory), or  $\phi^4$  (dimension 6 in the 5D theory).

Higher dimension operators are suppressed by appropriate powers of  $p/M_*$  and therefore can be neglected in the low energy limit, where the theory is effectively four dimensional.

Note that here we only give a classical treatment of split fermions, following the literature (see for example [1, 2, 5]). We do not discuss quantum corrections, and in particular anomalies in extra dimensions. Discussions concerning such issues can be found, for example, in [13].

## 2.2. Tree Level FCNC

In SM extensions there can be various sources for tree-level Flavor Changing Neutral Currents (FCNCs). Such interactions can be mediated by the  $Z^0$ , by the Higgs or by new bosons. In split fermion models, every KK mode of the neutral gauge bosons or the Higgs can mediate FCNC. In particular, the KK modes of the gauge fields have  $x_5$ -dependent wavefunctions and therefore their couplings to the fermion zero modes are flavor dependent. The resulting FCNCs provide a bound on the size of the extra dimension [5]. Here we are concerned with Higgs mediated FCNCs. In general, a scalar can mediate FCNC if its Yukawa term is not aligned with the fermion mass term. As an example we can think of the Higgs fields in multi Higgs models. In split fermion models the case is similar, although there is only one Higgs. This can be explained from a 5D or from a 4D point of view.

From the 5D point of view, the Higgs field is expanded about its VEV as

$$H(x, z) = v_H(z) + \tilde{H}(x, z). \quad (9)$$

The shifted field  $\tilde{H}$  has vanishing VEV, and we decompose it into KK modes,

$$\tilde{H}(x, z) = \sum_n h_n(x) f_n(z). \quad (10)$$

Retaining only the ground KK mode ( $n = 0$ ) we see that while the effective 4D Yukawa couplings are given by

$$y_{ij} = Y_{ij} \int dz \frac{f^h(z) f^{i*}(z) f^j(z)}{\sqrt{M_*}}, \quad (11)$$

the fermion mass term induced by the Higgs VEV is

$$m_{ij} = Y_{ij} \int dz \frac{v(z) f^{i*}(z) f^j(z)}{\sqrt{M_*}}, \quad (12)$$

leading to  $y_{ij} \not\propto m_{ij}$ . This misalignment between the 4D Yukawa and mass matrices implies FCNC at the Lagrangian level.

In order to see the above from a 4D point of view, we distribute the non uniform Higgs VEV among the different KK modes of the Higgs. That is, we write

$$H(x, z) = \sum_n [v_n + h_n(x)] f_n(z) \quad (13)$$

with

$$v_H(z) \equiv \sum_n v_n f_n(z). \quad (14)$$

Such 4D theory contains many KK fields ( $h_n$ ), each with its own VEV ( $v_n$ ). The 4D mass term is given by

$$m_{ij} = Y_{ij} \sum_n \frac{v_n}{\sqrt{M_*}} \int dz f_n^h(z) f^{i*}(z) f^j(z), \quad (15)$$

while the Yukawa coupling to the  $n$ -th Higgs KK is

$$y_{ij}^n = \frac{Y_{ij}}{\sqrt{M_*}} \int dz f_n^h(z) f^{i*}(z) f^j(z). \quad (16)$$

The resulting Lagrangian is that of a multi Higgs model, but without natural flavor conservation to prevent FCNC.

### 3. THE SCALAR SECTOR

Split fermions, then, provide a mechanism to localize the fermion zero modes. A side effect, however, is that a similar mechanism can (and therefore does) apply for the SM Higgs, as the latter couples to the localizer and inevitably gets localized. In order to find classical solutions for the Higgs VEV, we should study the scalar sector, which includes the SM Higgs and the localizer. More specifically, we are interested in the case of two scalars in one spatial dimension. In this work, for simplicity we replace the SM Higgs (four degrees of freedom before electroweak symmetry breaking) with a real field (one degree of freedom). We start with the potential

$$U(\phi, h) = -\frac{1}{2}\mu^2\phi^2 + \frac{1}{4}\lambda\phi^4 - \frac{1}{2}\mu_h^2h^2 + \frac{1}{4}\lambda_hh^4 + \frac{1}{2}g\phi^2h^2. \quad (17)$$

The application to the five dimensional model involves adding the appropriate powers of the 5D cutoff scale  $M_*$ . For example,  $\lambda, \lambda_h, g$  are couplings with mass dimension  $(-1)$ . For now we work in natural units where  $M_* = 1$ .

Starting with the simplistic AS model, where the extra dimension is infinite, we know that the  $g \rightarrow 0$  limit leads to the uniform Higgs solution,

$$\phi(z) = \frac{\mu}{\sqrt{\lambda}} \tanh\left(\frac{\mu z}{\sqrt{2}}\right); \quad h(z) \equiv \frac{\mu_h}{\sqrt{\lambda_h}}. \quad (18)$$

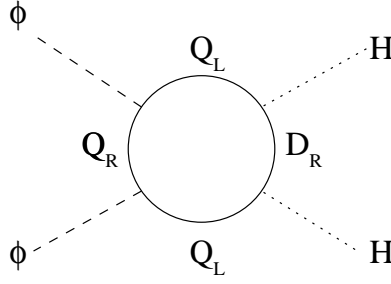


FIG. 1: A one-loop contribution to  $\phi^2 H^\dagger H$ .

We also keep in mind that we seek solutions in which the localizer  $\phi(z)$  is antisymmetric and the Higgs  $h(z)$  is symmetric in order to match the orbifold boundary conditions upon compactification.

### 3.1. A Uniform Higgs

Split fermion models require that there is a hierarchy between the localizer and the Higgs scales, in order that the fermion KK modes would not acquire  $\mathcal{O}(m_{EW})$  masses. The bound on the  $v_{EW}/\mu$  ratio depends on the details of the model, but characteristic values are roughly  $v_{EW}/\mu \sim (0.1 \text{ TeV}/100 \text{ TeV})$  [5]. Note that this is a direct bound on  $\mu$ , unlike the bound related to gauge KK modes, which constrains the size of the extra dimension. The  $v_{EW} \ll \mu$  hierarchy suffers from fine tuning, since both scales get radiative corrections proportional to the UV cutoff. We also mention that the observation of universality in the weak interactions puts a bound on  $m_{EW}/\mu$  which is of similar order.

In uniform Higgs scenarios, there is another fine tuning problem, related to the generating of the coupling  $g$  in  $g\phi^2 H^\dagger H$ . This operator is corrected even in the  $g_{tree} \rightarrow 0$  limit. In one loop the only diagrams which contribute are those with fermions running in the loop (see Fig. 1). Since such diagrams depend strongly on the UV cutoff, the coupling  $g_{tree}$  must be fine tuned in order to cancel the radiative corrections. Note that models with uniform Higgs require the above fine tuning *in addition* to the  $\mu_h \ll \mu$  related fine tuning, which must be assumed in any split fermion model. In the rest of this work we do not consider quantum corrections, namely, we work exclusively at the classical level.

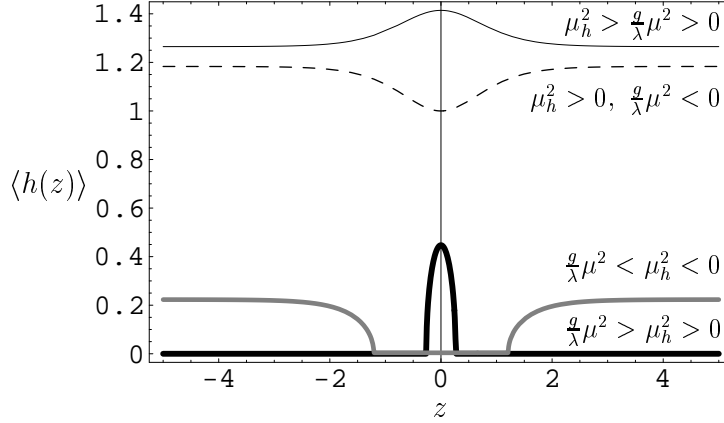


FIG. 2: Four scenarios according to the perturbative approximation. The Higgs VEV is illustrated for four different regions in the parameter space of the scalar potential. As can be seen, the Higgs VEV can be attracted to or repulsed from the core of the domain wall. The graphs are in arbitrary units.

### 3.2. A perturbative/adiabatic approximation

Going back to the classical problem, the equations of motion,

$$\phi'' = \frac{\partial U(\phi, h)}{\partial \phi}; \quad h'' = \frac{\partial U(\phi, h)}{\partial h}, \quad (19)$$

are coupled and cannot be integrated in a straightforward manner. However, a particularly simple scenario is obtained when  $gh(z)^2 \ll \mu^2$ , that is, when the Higgs is affected by the localizer but not vice versa. This limit is realized by the condition

$$\frac{g}{\lambda_h}\mu_h^2 \ll \mu^2. \quad (20)$$

Then we can approximate a solution as follows: The localizer ( $\phi$ ) is assumed to be the kink,

$$\phi(z) = \frac{\mu}{\sqrt{\lambda}} \tanh\left(\frac{\mu z}{\sqrt{2}}\right), \quad (21)$$

and we wish to find the Higgs VEV. The Higgs potential is then given by

$$U(h) = \frac{1}{2}M^2(z)h^2 + \frac{\lambda_h}{4}h^4, \quad (22)$$

where

$$M^2(z) \equiv -\mu_h^2 + \frac{g\mu^2}{\lambda} \tanh^2\left(\frac{\mu z}{\sqrt{2}}\right) \quad (23)$$



stands for the squared bulk mass of the Higgs. The equation of motion for the static Higgs VEV is then

$$h'' = \lambda_h h^3 + M^2(z)h. \quad (24)$$

We expect the solution for  $h(z)$  to get perturbative corrections of order  $\mathcal{O}(v_H/v_\phi)$ . Unfortunately the zeroth order is already hard to solve. A further approximation is to neglect the left hand side of Eq.(24). In this “adiabatic” approximation, only in the regions where  $M^2(z) < 0$ , the Higgs develops a VEV which is simply

$$h(z) = \sqrt{\frac{-M^2(z)}{\lambda_h}} \left[ 1 + \mathcal{O}\left(\frac{v_H}{v_\phi}\right) + \mathcal{O}\left(\frac{h''(z)}{\mu_h^2}\right) \right]. \quad (25)$$

Note that in this approximation  $h''(z)$  diverges where  $M^2(z) = 0$ . At these regions we expect large deviations. At other regions,  $h''(z)$  is not very large.

With the above result, in which we neglected the curvature, we recognize four scenarios, depending on the parameters (See Fig. 2). Among these scenarios, we can identify one where the Higgs is localized at the domain wall. An exact solution of this form is obtained below, using a mechanical analogy [14, 15]. Before going on to the mechanical analogy for two fields, we recall the simpler case of one real scalar.

### 3.3. One Scalar field

We recall that given a scalar potential  $U(\phi)$ , the problem of finding static solutions depending only on one spatial coordinate, is equivalent to that of a non-relativistic particle [14] in the 1D potential  $V = -U$ . The particle coordinate is  $\phi$ , and the “time” is  $x_5$ . Considering the infinite dimension case (analogous to infinite *time duration* in the mechanical analogy), the field must approach two adjacent global minima at the boundaries (See Fig. 3). If  $U$  has at least two global minima, there exist non-trivial solutions interpolating between two adjacent global minima. For example, the potential

$$U(\phi) = \frac{1}{4}\lambda (\phi^2 - \mu^2/\lambda)^2, \quad (26)$$

has two global minima:  $\phi_0 = \pm\mu/\sqrt{\lambda}$ , and thus the possible solutions are the kink and the anti-kink:

$$\phi(z) = \pm \frac{\mu}{\sqrt{\lambda}} \tanh \frac{\mu z}{\sqrt{2}}. \quad (27)$$

Proceeding to the more realistic case where the extra dimension is compact, it is obvious that the kink solution is incompatible with plain periodical boundary conditions. A common solution to this problem is to impose  $S^1/Z_2$  orbifold boundary conditions, with

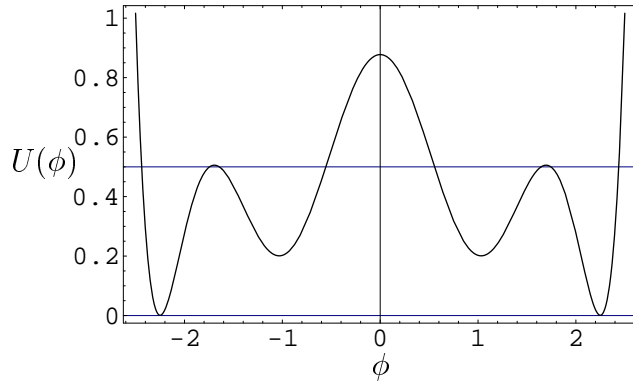


FIG. 3: A potential with local minima located between two global minima.

the localizer odd under the  $Z_2$ . Note that the orbifold  $Z_2$  symmetry of the Lagrangian is reflected in the fact that the solutions are either even or odd under the  $Z_2$ . In the large- $L$  limit the localizer VEV can be approximated by the kink-antikink (KAK) ansatz,

$$\phi(z) = \phi_k(z) \phi_k(L - z); \quad H(z) = \text{const.} = v_H, \quad (28)$$

which appears in numerous models [3, 9]. This is understood by considering the localizer field  $\phi$  with the potential (26). The orbifold implications on the mechanical analogy are that we look for a periodic motion with period  $2L$  and with the analogue particle at the origin (though not at rest) in the start and the end points of the period (see Fig. 4a). An explicit expression for this KAK-like solution is given by

$$z - z_0 = \pm \int_{\phi(z_0)}^{\phi(z)} \frac{d\phi}{\sqrt{2[U(\phi) - U(\phi_{\max})]}}. \quad (29)$$

Unlike Eq. (27), this integral does not have a nice algebraic form for our potential [16]. The relation between the orbifold size and the amplitude is given by

$$L = \sqrt{2} \int_0^{\phi_{\max}} \frac{d\phi}{\sqrt{U(\phi) - U(\phi_{\max})}}, \quad (30)$$

with a numerical evaluation depicted in Fig. 4b. For arbitrarily large- $L$  we can always find an appropriate motion whose amplitude is arbitrarily close to the maximum of the potential energy  $\phi_{\max} = \mu/\sqrt{\lambda}$ . However, the period cannot be less than the small oscillation limit  $2\pi/\sqrt{-U''(0)}$ . Thus there is a critical orbifold size  $L_c = \pi/\mu$  which is the minimal one for a nontrivial solution. For  $L < L_c$  the only solution is the trivial one,  $\phi(z) \equiv 0$ . The intuitive picture is that there is a tension between the “natural” VEV  $\pm\mu/\sqrt{\lambda}$  and the orbifold boundary conditions which set the odd field to zero at the fixed points. If we compare the total energy of the two configurations - the KAK-like vs. the

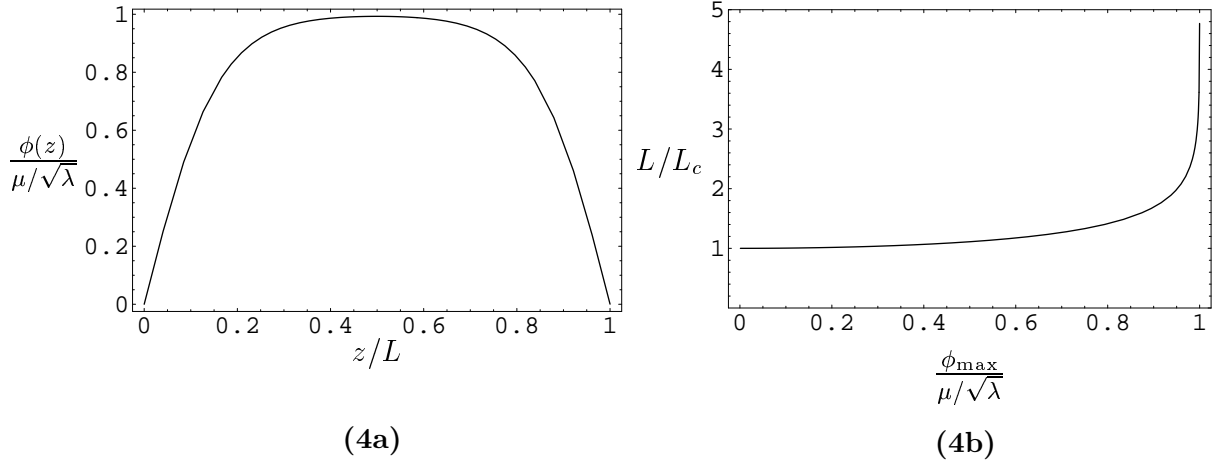


FIG. 4: **(4a)** A graph of the KAK-like solution; **(4b)** The Orbifold length  $L$  vs. the amplitude  $\phi_{\max}$ .

identically-zero one, the total energy receives two kinds of contributions, the first comes from the potential difference while the second is the “shear” energy contributed from gradients in  $z$ . Unlike the shear contribution, the potential contribution is proportional to the bulk extent in which it resides, so we expect that when  $L$  becomes small enough, the kinetic contribution takes over and the trivial solution becomes more economical. We also mention that the trivial configuration,  $\phi(z) \equiv 0$ , is always a classical solution, possibly not a minimum of the action. However, in the quantum theory such solution would tunnel to the true minimum which is the nontrivial solution if it exists.

### 3.4. Two Scalar Fields

In approaching the two scalar case, we find that a notation similar to [15] can be useful. In this notation, we rewrite the potential as

$$U(\phi, h) = \frac{1}{4}\lambda (\phi^2 - u^2)^2 + \frac{1}{2}k^2 h^2 + \frac{1}{4}\lambda_h h^4 + \frac{1}{2}g h^2 (\phi^2 - u^2), \quad (31)$$

where  $\lambda, \lambda_h, g$  have dimension  $-1$ ,  $u$  has dimension  $3/2$ , and  $\lambda, \lambda_h, u^2 > 0$ . Comparing to Eq.(17) we find the new parameters to be

$$u^2 \equiv \frac{\mu^2}{\lambda} \quad \text{and} \quad k^2 \equiv \frac{g}{\lambda}\mu^2 - \mu_h^2, \quad (32)$$

in the original notation.

### 3.4.1. Infinite extra dimension

The mechanical analogy for two scalar fields involves one particle in a two dimensional potential. A soliton is described by a zero-energy classical orbit starting and ending at global maxima. There are two types of such orbits: non-topological orbits start and end in the same global minimum while topological orbits connect two distinct global minima. We focus on the latter type since the former one is non stable, being in the same topological class as the trivial solution. In order to classify the solitons, we should study the configuration of the critical points in the potential. We distinguish between four configurations of the critical points (see Fig. 5). These four “types” of potentials are related to different regions in the parameter space  $(\mu_h, \mu, \lambda_\phi, \lambda_h, g)$ .

- **Type 0:** For this type of potentials,  $\mu_h^2 < 0$ ,  $g\mu^2 > \lambda\mu_h^2$ . This pattern has one maximum point at the origin and two global minima at  $(0, 0), (\pm u, 0)$ .
- **Type-I:** Another pattern occurs if

$$0 < \mu_h^2 < \frac{g\mu^2}{\lambda} \quad \text{and} \quad \left(\frac{\mu_h}{\mu}\right)^2 < \frac{\lambda_h}{g}. \quad (33)$$

- **Type-II:** An even richer pattern of critical points is achieved when the last inequality is reversed,

$$0 < \mu_h^2 < \frac{g\mu^2}{\lambda} \quad \text{and} \quad \left(\frac{\mu_h}{\mu}\right)^2 > \frac{\lambda_h}{g}. \quad (34)$$

- **Type-III:** If the first inequality in Type-I is inverted, we get a pattern of four global minima, one in each quadrant.

According to the mechanical analogy, type-0 does not yield a non trivial solution for both fields. Types I-II are the ones for which we obtain exact solutions. For type-III we conjecture qualitative characteristics of the solution without proof.

Unlike the single field case, here one must guess an orbit in the  $(\phi, h)$  plane. If the guess is successful, an explicit solution as function of  $x_5$  can be obtained. However, even if our guess is successful, the solution might be conditioned by constraints on the potential parameters. The full scheme is explained in the appendix. In Fig. 6, an exact solution is depicted, along with its corresponding mechanical orbit. This solution is given explicitly by

$$\phi(z) = u \tanh[k(z - z_0)]; \quad h(z) = \pm \sqrt{\frac{\lambda u^2 - 2k^2}{g}} \operatorname{sech}[k(z - z_0)], \quad (35)$$

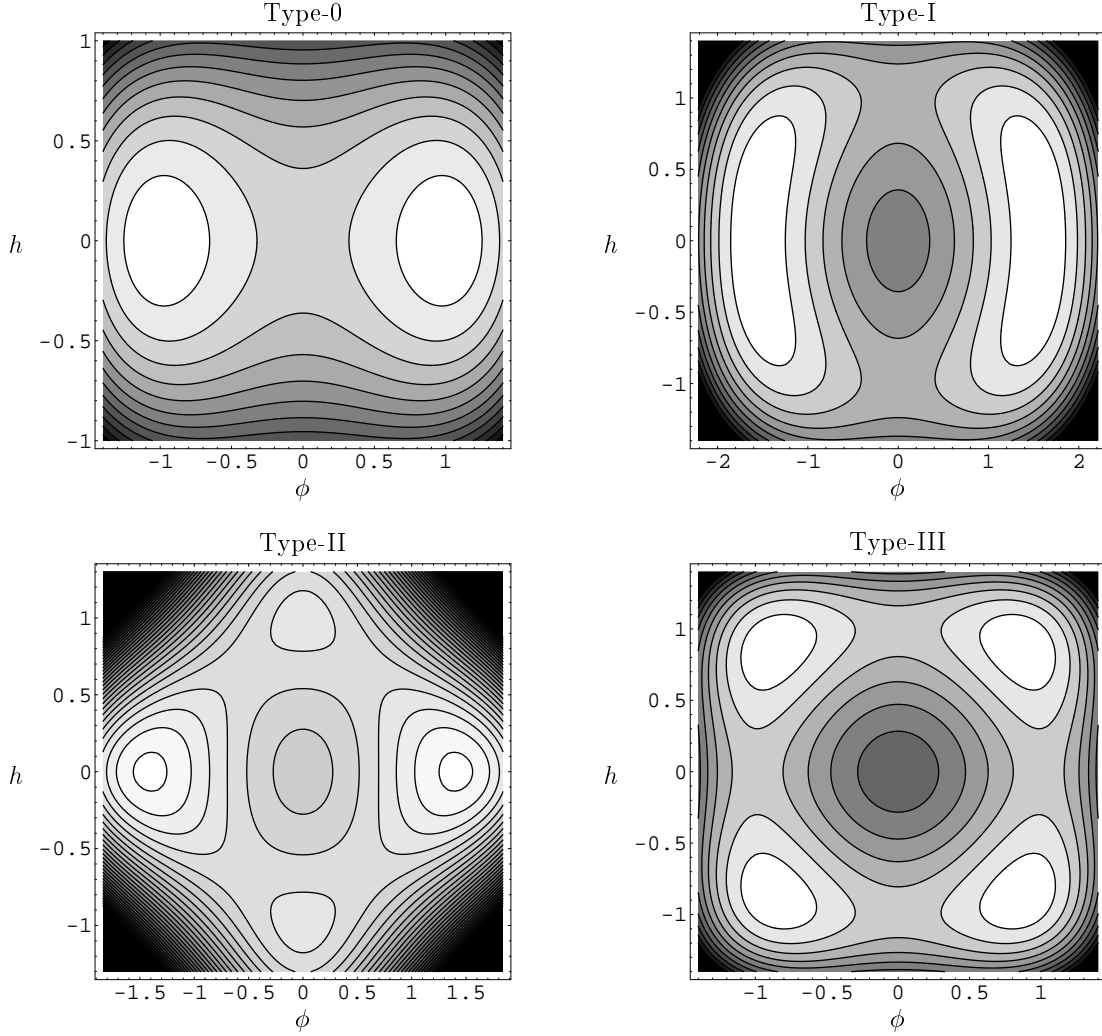


FIG. 5: Plots of isopotential lines for different potential types. The four different types are related to different regions in the parameter space, as explained in the text. The field values of  $\phi$  and  $h$  are divided by the factors  $\mu/\sqrt{\lambda}$  and  $\mu_h/\sqrt{\lambda_h}$  accordingly.

with

$$k = \sqrt{\frac{g}{\lambda}\mu^2 - \mu_h^2}. \quad (36)$$

As can be seen in Fig. 6, in this solution the localizer acquires a kink-like profile and the Higgs VEV is bell-shaped, in accordance to the perturbative approximation. We note that the above solution has a constraint on the potential parameters, which is

$$\frac{\lambda_h}{\lambda} > \left(\frac{\mu_h}{\mu}\right)^4; \quad \left(\frac{\mu}{\mu_h}\right)^2 = \frac{2\lambda(g - \lambda_h)}{g^2 - 2g\lambda_h + \lambda\lambda_h}. \quad (37)$$

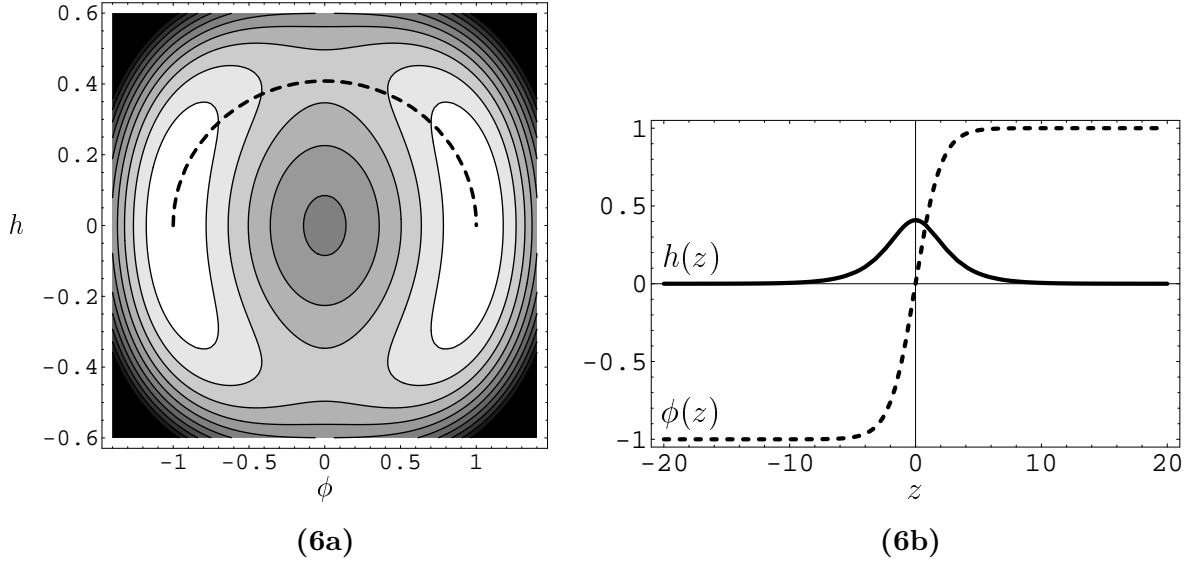


FIG. 6: An exact solution. Fig. **(6a)** shows a half-elliptic orbit which yields the explicit solution in Fig. **(6b)**. In Fig. **(6b)** the solid (dashed) curve represents the Higgs (localizer) VEV.

That is, the above nice algebraic solution is valid only with this constraint. However, the mechanical analogy teaches us that similar solutions exist in the neighborhood of Eq.(37), although they might not have closed algebraic forms. With a suitable choice of parameters, the above solution can serve as a realization of the localized Higgs scenario. In fact, as can be seen from Eq.(36), the  $\mu_h/\mu$  hierarchy makes sure that the above solution is tightly localized, provided that  $g/\lambda$  is not too small. Another condition is the integral constraint Eq.(6). Putting the solution (35) into that constraint, we get

$$\int_0^L dx_5 h^2(x_5) = \frac{2}{k} \frac{\lambda u^2 - 2k^2}{g} = v_{\text{EW}}^2, \quad (38)$$

or

$$v_{\text{EW}}^2 = \frac{2\mu^2 (1 - 2\frac{g}{\lambda}) + 2\mu_h^2}{g\sqrt{\frac{g}{\lambda}\mu^2 - \mu_h^2}}. \quad (39)$$

In all the solutions above,  $h(z)$  maintains the same sign. This feature is expected to be violated in some of the solutions for type-III potentials which have four global minima at

$$(\phi, h) = \left( \pm \sqrt{\frac{g\mu_h^2 - \lambda_h\mu^2}{g^2 - \lambda\lambda_h}}, \pm \sqrt{\frac{g\mu^2 - \lambda\mu_h^2}{g^2 - \lambda\lambda_h}} \right). \quad (40)$$

In this scenario the orbits which are relevant for our purposes are those connecting between two adjacent maxima with the same value of  $h$ . This is in order to match the orbifold

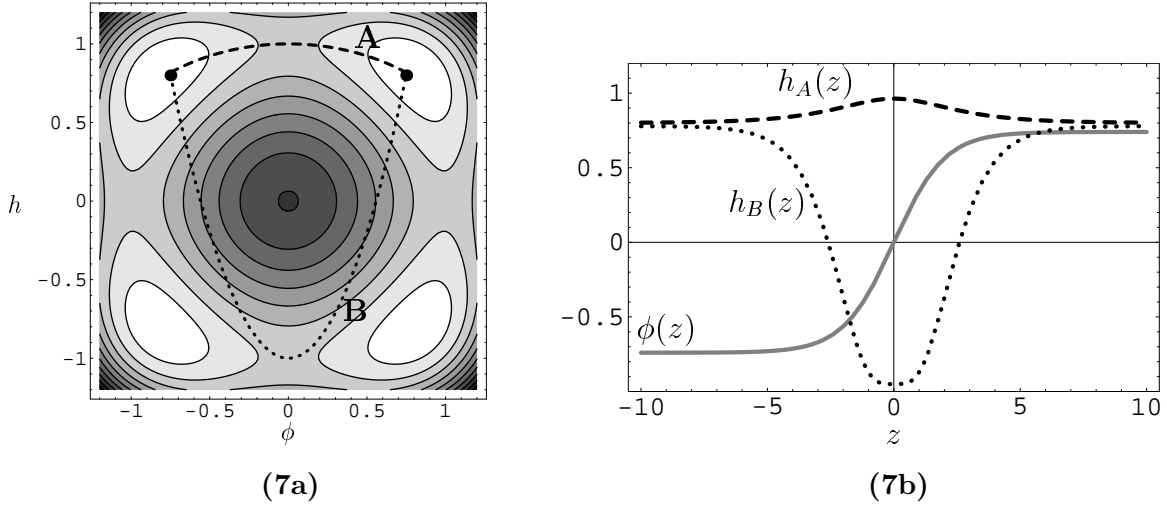


FIG. 7: Fig. (7a) shows two conceivable orbits for a type-III potential. Fig. (7b) shows the corresponding VEVs. Note that these are not exact solutions but illustrations of conjectured solutions.

boundary conditions. There are two kinds of conceivable orbits with such a feature, which are illustrated as “A” and “B” in Fig. (7a). In solution “A” the Higgs is always positive (or negative), realizing one of the “adiabatic” solutions depicted in Fig. 2, while in solution “B” it becomes negative in the vicinity of  $z = 0$ . In both orbits the Localizer has a kink-like shape. At this point we could not obtain an explicit form of neither solutions, which may even not exist for the relevant region in the potential parameter space.

Regarding the conjectured solution “B”, two points are worth noting: First, this solution cannot appear in the adiabatic solution since it involves large gradients in  $h(z)$ , which is in contrast with the “adiabatic” assumption. Second, unlike the neutral  $h(z)$  in our simplified discussion, the SM Higgs is charged under  $SU(2) \times U(1)$ , and thus the above picture of “sign alternating” should be replaced by one where the  $SU(2)$  phase rotates along the extra dimension.

### 3.4.2. Orbifold

While in an infinite extra dimension we can obtain an explicit solution, the orbifold case is much harder to solve. Nevertheless some interesting conjectures can be made. Again we seek oscillatory solutions with period  $2L$ . We start by discussing the large- $L$  case. In the case of infinite extra dimension, the analogue particle departs from  $(-\mu/\lambda, 0)$  and arrives at  $(+\mu/\lambda, 0)$  in a zero energy path (see Fig. 8, dashed line). In a large but finite

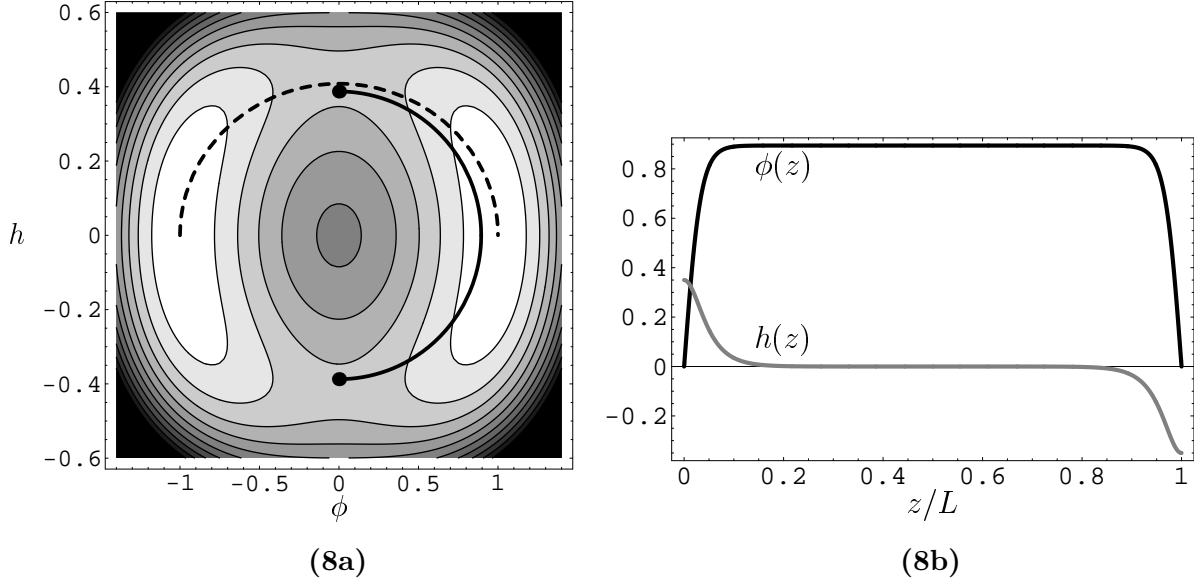


FIG. 8: An illustration of the conjectured solution for type-I potentials on the large  $S^1/Z_2$  orbifold. Fig. (8a) shows the two orbits in large orbifold (solid line) and the  $L \rightarrow \infty$  limit (dashed line). The orbifold fixed points are denoted by emphasized dots. Fig. (8b) illustrates the solution in the  $L \rightarrow \infty$  limit.

sized orbifold we expect closed (periodic) orbits with negative energy rather than zero energy. While we cannot prove nor verify the existence of such orbits, below we suggest the main features of such solutions if they exist. In an analogy to the single-field case, the particle starts at the fixed point with  $\phi(0) = 0; h(0) \lesssim \sqrt{\alpha}u$ , with a non zero “velocity” ( $\dot{\phi} \neq 0; \dot{h} = 0$ ). The particle barely misses the maximum at  $(+1, 0)$ , then it proceeds to the opposite fixed point in a similar way. The motion in the “ $\phi < 0$ ” plane is completely dictated by the orbifold  $Z_2$  symmetry (that is, in a perfect reflection of the first half of the motion). The period of the above motion amounts to the complete circumference of the compact dimension (See Fig. 8). The orbifold symmetry requirement is invariance under reflection about the  $h$ -axis. In our case the symmetry of the potential further implies that the orbit is also invariant under reflection about the  $\phi$ -axis. In the case of the  $SU(2) \times U(1)$  Higgs, the orbifold condition implies that the orbit is invariant under reflection about the Higgs hyperplane. We do not know if such an orbit (or a continuum of orbits) exists, but we do know that if some orbit intersects the axes with right angles ( $\dot{h}(0) = 0, \dot{\phi}(L/2) = 0$ ) then it is periodic because of symmetry considerations. Here too, as in the case of one scalar field, there is a critical orbifold size, under which the only



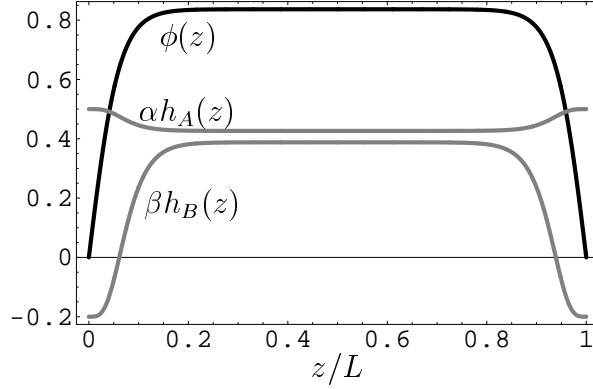


FIG. 9: Conjectured solutions for type-III potentials on the  $S^1/Z_2$  orbifold.  $A$  and  $B$  denote the generalization of the orbits in figure 7. The scale factors  $\alpha$  and  $\beta$  depend on the choice of the potential parameters.

solution is

$$\phi(z) = 0; \quad h(z) = \pm \sqrt{\frac{gu^2 - k^2}{\lambda_h}} = \pm \frac{\mu_h}{\sqrt{\lambda_h}}, \quad (41)$$

since it always has a lower action than the trivial solution  $(\phi, h) = (0, 0)$ . This solution is incompatible with split fermion models. For small but finite orbifold size, Eq. (41) cannot be perturbed with small oscillations, since this point is not a minimum of  $-U(\phi, h)$ . Small oscillations can be found only about the origin, but since the problem is equivalent to an anisotropic harmonic oscillator, the only relevant solutions exist only when the ratio  $\mu_h/\mu$  is rational, which requires fine tuning of the mass parameters as well as of the orbifold size. More specifically, elliptic and circular orbits exist only if  $\mu = \mu_h$ . Another possibility regarding type-III potentials is depicted in Fig. 9. This hypothetical solution is the orbifold version of the solutions “A” and “B”. In this case the Localizer is again KAK-like.

#### 4. A MORE GENERIC SCENARIO

As discussed before, the deviation of  $v_H(z)$  from flatness is proportional to  $g$ , the coupling of  $\phi^2 H^\dagger H$ . Such deviations lead to Tree-Level FCNC, and therefore we can translate the bounds from FCNC experimental data into a bound on  $g$ . In order to do this, first we estimate the deviation using the perturbativity condition Eq.(20). In this limit it turns out that  $f^h(z) \propto v_H(z)$  even if these functions are not uniform. This fact is demonstrated using the 5D point of view (see section 2.2). We substitute the KK

expansion,

$$H(x, z) = v_H(z) + \sum_n h_n(x) f_n(z), \quad (42)$$

into the 5D equation of motion. Separation of variables for  $f_n(z)$  yields

$$f_n \partial_\mu \partial^\mu h - h f'' + (-\mu_h^2 + g\phi^2) h f + \lambda(v_H + h f)^3 = 0. \quad (43)$$

After linearization in  $h f$  and separation, we obtain

$$-f'' + (-\mu_h^2 + g\phi^2 + 3\lambda v_H^2) f = 0, \quad (44)$$

which, up to the scaling  $v_H(z) \rightarrow v_H(z)/\sqrt{3}$  and upon substituting  $f = v_H$ , is similar to the equation for the VEV,

$$-v_H'' + (-\mu_h^2 + g\phi^2 + \lambda v_H^2) v_H = 0. \quad (45)$$

This approximation holds as long as the localizer is not affected by the Higgs VEV, namely when  $g v_H^2(z) \ll \mu^2$ , and thus we expect that

$$f(z) \propto v_H(z) + \mathcal{O}\left(\frac{g\mu_h^2}{\lambda_h \mu^2}\right). \quad (46)$$

This means that if the hierarchy  $\mu_h^2/\mu^2$  is resolved, the coupling  $g$  does not have to be very small in order to suppress FCNC. In particular, we are interested in tree-level processes where the Higgs KK mediates flavor transitions. For example, such an effective operator contributing to  $K - \bar{K}$  mixing is *e.g.*:

$$\left(\frac{g\mu_h^2}{\lambda_h \mu^2}\right)^2 Y_{ij}^2 \frac{s\bar{d}s\bar{d}}{m_{\text{KK}}^2}, \quad (47)$$

where the Dirac structure is suppressed. From experimental data of  $K - \bar{K}$  mixing and CP violation in Kaon decay, the suppression scale of a  $s\bar{d}s\bar{d}/\Lambda^2$  term is bounded by  $\Lambda \gtrsim 10^4$  TeV [17]. Following the rationale of some common flavor models (see *e.g.* [18]), we take  $Y_{sd} \simeq m_s/m_t \simeq (\sin \theta_c)^5$ . Furthermore, assuming  $\lambda_h \sim 1$  one finds that there is no relevant bound on  $g$ . Similar arguments hold for the  $D^0$  and  $B^0$  systems. We conclude that configurations in which the Higgs VEV is neither uniform nor confined to a brane do not impose further constraints on the model parameters.

## 5. CONCLUSIONS

We discussed the implications of the Higgs coupling to the localizer in split fermion models. A scenario such as the Arkani-Hamed-Schmaltz model, where the Higgs VEV is

uniform, requires this coupling to be small, implying fine tuning of the model parameters. We found an exact classical solution for the case of an infinite extra dimension, by applying a mechanical analogy [15]. This solution, which is not fine tuned, provides a realization of scenarios where the Higgs is confined to a brane [5]. We also discussed qualitatively the more realistic case of a compact extra dimension. Furthermore, we showed that more generic configurations of the Higgs VEV are phenomenologically viable. The apparently dangerous Higgs mediated FCNCs are suppressed already for  $g \sim \mathcal{O}(1)$ , since they are proportional to  $\mu_h^2/\mu^2$ , which is already assumed small in any split fermion model [5].

Many assumptions were made in constructing the simplified model of [1]. By now, most of these assumptions have been carefully studied and showed to be, indeed, only simplifying ones. The unrealistic infinite extra dimension is not needed when an orbifold is used [3, 5, 9]. The assumption that the coupling to the localizer and the bare mass term can be diagonalized simultaneously, was relaxed in [12]. In this work we tested the assumption that the Higgs is flat. We found that this assumption too is not a crucial one, providing further reinforcement to the split fermion idea.

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## APPENDIX A: EXACT SOLUTIONS

In this appendix we give some details of obtaining the exact solution (35) and other solutions. Here we follow a line similar to Rajaraman [15].

### 1. Orbits in the Mechanical Analogy

A first integration of the equations of motion (19) yields two coupled ordinary differential equations,

$$\frac{1}{2}\phi'^2 = \int \frac{\partial U(\phi, h)}{\partial \phi} g \phi + A; \quad \frac{1}{2}h'^2 = \int \frac{\partial U(\phi, h)}{\partial h} g h + B, \quad (\text{A1})$$

compared with the one scalar case where there is one equation only. Here a primed field denotes its derivative with respect to  $z$ , and  $A, B$  are integration constants.

A solution may be obtained as follows. First, we guess an equation for the mechanical orbit:

$$g(\phi, h) = 0. \quad (\text{A2})$$

Differentiating both sides of (A2) with respect to  $z$  and squaring, yields

$$\left(\frac{\partial g}{\partial \phi}\right)^2 \phi'^2 = \left(\frac{\partial g}{\partial h}\right)^2 h'^2. \quad (\text{A3})$$

Inserting (A1), we obtain

$$\left(\frac{\partial g}{\partial \phi}\right)^2 \left(\int_{\text{orbit}} \frac{\partial U(\phi, h)}{\partial \phi} g \phi\right) = \left(\frac{\partial g}{\partial h}\right)^2 \left(\int_{\text{orbit}} \frac{\partial U(\phi, h)}{\partial h} g h\right), \quad (\text{A4})$$

where the integrals are evaluated along the orbit (A2). Eq. (A4) imposes relations among the parameters in (A2) and those in the potential. Thus in general we must not expect that only the orbit parameters are constrained, while those of the potential remain intact, unless our guess of Eq. (A2) is exceptionally successful.

The most obvious orbit connecting the two vacua is the straight line from  $(\phi, h) = (-u, 0)$  to  $(+u, 0)$ . A somewhat more complicated orbit could be the following: Consider the one parameter family of canonical ellipses which go through  $(0, \pm u)$

$$g(\phi, h) = h^2 + \alpha(\phi^2 - u^2) = 0, \quad (\text{A5})$$

where the orbit parameterization is such that it starts ( $z \rightarrow -\infty$ ) at  $(\phi, h) = (-u, 0)$  and ends ( $z \rightarrow +\infty$ ) at  $(+u, 0)$ . By differentiating we find that

$$\left(\frac{\partial g}{\partial \phi}\right)^2 = 4\alpha^2 \phi^2 = 4\alpha(\alpha u^2 - h^2); \quad \left(\frac{\partial g}{\partial h}\right)^2 = 4h^2, \quad (\text{A6})$$

and that

$$dh^2 = -\alpha d\phi^2. \quad (\text{A7})$$

The last relation is used for calculating the integrals

$$\begin{aligned} \int \left(\frac{\partial U}{\partial \phi}\right) d\phi &= \frac{1}{2} \int [\lambda(\phi^2 - u^2) + g h^2] d\phi^2 = \frac{\lambda - \alpha g}{2\alpha^2} \int h^2 dh^2 \\ &= \frac{\lambda - \alpha g}{4\alpha^2} h^4 \end{aligned} \quad (\text{A8})$$

and

$$\begin{aligned} \int \left( \frac{\partial U}{\partial h} \right) d h &= \frac{1}{2} \int (\lambda_h h^2 + k^2 - g u^2 + g \phi^2) d h^2 = \frac{1}{2} \int \left( \frac{\alpha \lambda_h - g}{\alpha} h^2 + k^2 \right) d h^2 \\ &= \frac{h^2}{4} \left( \frac{\alpha \lambda_h - g}{\alpha} h^2 + 2k^2 \right). \end{aligned} \quad (\text{A9})$$

Substituting in (A4) we get

$$(\lambda - \alpha g) (\alpha u^2 - h^2) h^4 = [2k^2 \alpha + (\alpha \lambda_h - g) h^2] h^4, \quad (\text{A10})$$

or

$$\alpha (\lambda u^2 - \alpha g u^2 - 2k^2) + [\lambda + g - \alpha(g + \lambda_h)] h^2 = 0. \quad (\text{A11})$$

The above must vanish identically, giving

$$\alpha = \frac{\lambda u^2 - 2k^2}{g u^2}; \quad \lambda_h = \frac{g(g u^2 - 2k^2)}{\lambda u^2 - 2k^2}. \quad (\text{A12})$$

Since there is only one free parameter ( $\alpha$ ), we have one equation too many. While the first condition gives us the desired orbit, the second relation rather constrains the potential. At this point we may wonder whether this illness could be remedied by replacing the orbit equation (A5) with a *two* parameter family such as <sup>1</sup>

$$h^2 + \alpha (\phi^2 - u^2) + \beta (\phi^2 - u^2)^2 = 0 \quad \text{or} \quad \alpha h^2 + \beta h + (\phi^2 - u^2) = 0. \quad (\text{A13})$$

As we found out, the answer is negative. Substituting the above two-parameter orbit in (A4) we do find, in addition to the two Rajaraman solutions, solutions with  $\alpha, \beta \neq 0$ . However, the constraints on the potential parameters are not relaxed. For example the orbit

$$\alpha = \beta = \frac{\lambda u^2 - 2k^2}{g u^2}, \quad (\text{A14})$$

is a viable orbit only if the following two constraints are met:

$$g u^2 = 2 (\lambda u^2 + k^2); \quad \lambda_h = \frac{8g}{3}. \quad (\text{A15})$$

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<sup>1</sup> Note that both families of curves include also the parabolic orbit  $h + \alpha(\phi^2 - u^2) = 0$ , which yields a solution with similar characteristics as the elliptic orbit discussed above.

## 2. Explicit Solutions

With a legitimate one-particle orbit at hand we can finally decouple the equations of motion (19) by substituting the orbit. For the straight line ( $h = 0$ ) we have

$$\phi'' = \lambda\phi^3 - \lambda u^2\phi + g\phi h^2 = \lambda\phi^3 - \lambda u^2\phi, \quad (\text{A16})$$

which is solved by

$$\phi(z) = u \tanh \left[ \sqrt{\frac{\lambda}{2}} u (z - z_0) \right]; \quad h(z) = 0. \quad (\text{A17})$$

For the more interesting orbit (A5) we have

$$\phi'' = \lambda\phi^3 - \lambda u^2\phi + g\phi h^2 = \frac{2k^2}{u^2}\phi^3 - 2k^2\phi, \quad (\text{A18})$$

whose solution is

$$\phi(z) = u \tanh[k(z - z_0)]; \quad h(z) = \pm \sqrt{\frac{\lambda u^2 - 2k^2}{g}} \operatorname{sech}[k(z - z_0)]. \quad (\text{A19})$$

There is no apparent reason that one of the above solutions has the globally minimal action. In absence of a uniqueness theorem for such nonlinear equations, we can only rule out a solution if we find another solution with smaller action. Considering the above solutions, we find that their actions are

$$S_{\text{straight}} = -\frac{2}{3}\sqrt{2\lambda}; \quad S_{\text{ellipse}} = -\frac{2}{3}\frac{k}{gu} \left( \lambda + 2g - \frac{2k^2}{u^2} \right). \quad (\text{A20})$$

Provided the conditions (37) are met, sometimes the straight line has a smaller action than the elliptic orbit and sometimes it is vice versa, depending on the potential parameters. Moreover, these two trajectories might be not minima but maxima or saddle points.

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